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# NOTICE OF ALLOWANCE AND FEE(S) DUE

26565

10/01/2009

**EXAMINER** CLAYTOR, DEIRDRE RENEE ART UNIT PAPER NUMBER

1617

DATE MAILED: 10/01/2009

MAYER BROWN LLP P.O. BOX 2828 CHICAGO, IL 60690

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/656,304	09/05/2003	Andrea M. McPhillips	02972938	8208

TITLE OF INVENTION: COMPOSITION FOR INHALATION COMPRISING DELTA-9-TETRAHYDROCANNABINOL IN A SEMIAQUEOUS

SOLVENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/04/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless correcte maintenance fee notificat	correspondence includired below or directed others	ng the Patent, advance on nerwise in Block 1, by (a	ders and notification of n a) specifying a new corres	naintenance fees will be condence address; and/	e mailed to the current or (b) indicating a sepa	correspondence address as urate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)			Fee(	s) Transmittal. This cert	ificate cannot be used f er, such as an assignme	or domestic mailings of the or any other accompanying nt or formal drawing, must
26565 MAYER BRO' P.O. BOX 2828 CHICAGO, IL 6		/2009		Cartifica	te of Mailing or Trans	mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
						(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATT	ORNEY DOCKET NO.	CONFIRMATION NO.
10/656,304 FITLE OF INVENTION SOLVENT	09/05/2003 N: COMPOSITION FO	OR INHALATION COM	Andrea M. McPhillips MPRISING DELTA-9-TE	TRAHYDROCANNAB	02972938 INOL IN A SEMIA	8208 QUEOUS
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/04/2010
EXAM	INER	ART UNIT	CLASS-SUBCLASS			
CLAYTOR, DE	IRDRE RENEE	1617	424-043000			
<ul> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>□ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>□ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> <li>3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON</li> </ul>			2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.  THE PATENT (print or type)  data will appear on the patent. If an assignee is identified below, the document has been filed for a substitute for filing an assignment.			
(A) NAME OF ASSIC	GNEE		(B) RESIDENCE: (CITY	and STATE OR COUN	TRY)	oup entity 🖵 Government
4a. The following fee(s) are submitted:  Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies			o. Payment of Fee(s): (Plea A check is enclosed.  Payment by credit care The Director is hereby overpayment, to Depos	I. Form PTO-2038 is at	tached. e required fee(s), any de	
a. Applicant claims	tus (from status indicated s SMALL ENTITY statu	is. See 37 CFR 1.27.	b. Applicant is no long			
interest as shown by the i	records of the United Sta	tes Patent and Trademark	Office.	ic applicant, a registered	anorney of agent; of the	ne assignee or other party in
Authorized Signature				Date		
Typed or printed name				Registration No		
an application. Confident submitting the completed his form and/or suggesti	tiality is governed by 35 application form to the ons for reducing this but irginia 22313-1450. DO	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the	1.14. This collection is est. depending upon the indiv e Chief Information Office	mated to take 12 minut dual case. Any comme r. U.S. Patent and Trade	es to complete, including ts on the amount of tire mark Office, U.S. Depar	I by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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# UNITED STATES PATENT AND TRADEMARK OFFICE

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10/656,304	09/05/2003	Andrea M. McPhillips	02972938	8208	
26565 75	590 10/01/2009		EXAM	INER	
MAYER BROWN LLP			CLAYTOR, DEIRDRE RENEE		
P.O. BOX 2828			ART UNIT	PAPER NUMBER	
CHICAGO, IL 600	590		1617		
			DATE MAILED: 10/01/200	9	

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 309 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 309 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)		
	10/656,304	MCPHILLIPS ET AL.		
Notice of Allowability	Examiner	Art Unit		
	Renee Claytor	1617		
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comn IGHTS. This application is a and MPEP 1308.	in this application. If not included nunication will be mailed in due course. <b>THIS</b>		
2. ☑ The allowed claim(s) is/are <u>1-10</u> .				
3. ☐ Acknowledgment is made of a claim for foreign priority un  a) ☐ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have  2. ☐ Certified copies of the priority documents have  3. ☐ Copies of the certified copies of the priority do  International Bureau (PCT Rule 17.2(a)).	been received. been received in Applicat	ion No		
* Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	IENT of this application.			
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>				
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.			
(a) ☐ including changes required by the Notice of Draftspers	on's Patent Drawing Revie	ew ( PTO-948) attached		
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date				
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1				
each sheet. Replacement sheet(s) should be labeled as such in t				
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.				
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)		nformal Patent Application		
2. Notice of Draftperson's Patent Drawing Review (PTO-948)		Summary (PTO-413), b./Mail Date		
3. Information Disclosure Statements (PTO/SB/08),		s Amendment/Comment		
Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. ⊠ Examiner' 9. □ Other	s Statement of Reasons for Allowance 		

#### **DETAILED ACTION**

# Request for Continued Examination

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 7/16/2009 has been entered.

#### **REASONS FOR ALLOWANCE**

The following is an examiner's statement of reasons for allowance: the prior art does not teach or suggest a composition consisting essentially of an alcohol, water and a glycol in amounts of about 20% to about 65% of glycol and 20% to about 70% of alcohol.

The closest prior art is Touitou (US Patent 5,716,638) in view of Peart (US Patent 6,509,005) and Vachon (XP-000965573). Touitou teaches a topical composition comprising phospholipids, alcohols, water, delta-9-tetrahydrocannabinol as an active ingredient and propylene glycol. Touitou requires the presence of phospholipids and the "consisting essentially of" language in the instant claims excludes phospholipids, as phospholipids would materially affect the instant composition. Peart teaches pharmaceutical compositions comprised of delta-9-tetrahydrocannabinol which contain ethanol and water. However, Peart teaches that using ethanol in concentrations that

exceed 20% will result in a particle size too large to effectively administer tetrahydrocannabinol to the lungs (Col. 5, lines 28-32). Further, Vachon teaches delta-9-tetrahydrocannabinol in a formulation with propylene glycol and water. However, Vachon does not teach the ranges of either that fall within the scope of the current claims. Therefore, the prior art does not teach compositions comprising a solvent for delat-9-tetrahydrocannabinol consisting essentially of an alcohol, water and a glycol in the ranges and ratios currently claimed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### **Contact Information**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Renee Claytor whose telephone number is (571)272-8394. The examiner can normally be reached on M-F 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreeni Padmanabhan can be reached on 571-272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/656,304 Page 4

Art Unit: 1617

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Renee Claytor

/SREENI PADMANABHAN/
Supervisory Patent Examiner, Art Unit 1617